TeamMate® CM
Controls Management System

INTRODUCING THE SOX MANAGEMENT TOOL DESIGNED FOR THE FUTURE

Dynamic Working View

Flexible Touch-Focused Design

Offered in the Cloud

Wolters Kluwer
Audit, Risk & Compliance

WHAT IS TEAMMATE CM?

TeamMate CM is a revolutionary new member of the TeamMate suite of applications, focused on the management and testing of SOX or any other set of internal controls an organization may implement. TeamMate CM provides built-in workflows around the assessment, process owner review, testing, action plan documentation, retesting, and certification processes. It provides organizations the ability to view and interact with controls through a truly unique user-defined structure based on Dimensions and Perspectives of data.

This structure differs from traditional organizational hierarchies in that it orients the user experience around an individual's specific role, the parts of the organization with which they are concerned, and their specific area(s) of interest. This innovative design leads to much greater efficiency when completing controls work as well as better insight into the potential impact the given control environment could have on an organization.

TeamMate CM's integration with the industry leading TeamMate Audit Management System (AM) promotes the leveraging and sharing of data and workflows across the Internal Audit and Compliance disciplines, allowing members of both groups to be true "TeamMates."

Key Benefits

- TeamMate CM is purpose built from the ground up using the latest technologies which ensures that the user experience is optimal and the features and functions directly support the various Controls Management mandates.

- Internal Audit and Compliance are able to share a common Risk and Control library, resulting in better coverage and less duplication of testing.

- Internal Audit and Compliance may use the same, user-configured language (taxonomy). Audit Committees and Business Managers are then better equipped to make accurate comparisons of data and testing results.

- Integration between TeamMate CM and TeamMate AM allows for seamless collaboration between Audit and Compliance users driving productivity, knowledge sharing, and efficiency across the organization.

- TeamMate CM is optimized for 'touch' allowing users to enjoy the productivity gains inherent in the latest hardware devices such as iPads and Windows 8 Tablets.
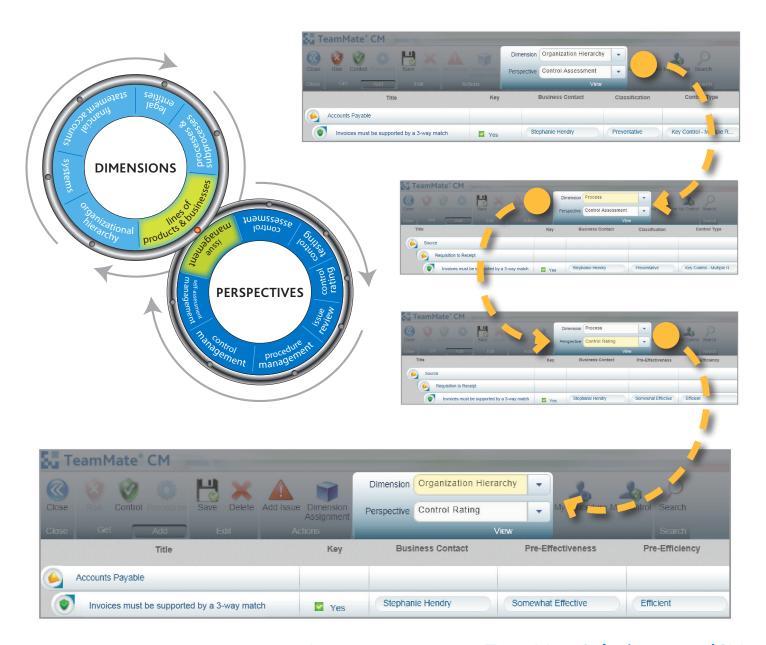
Innovations in Technology

TeamMate CM is developed with a focus on taking advantage of the latest technologies available and optimizing the software to enhance the user experience.

- Contextual Awareness - Information necessary to complete actions or make decisions is always on the same screen. You are never 'lost' in the system.

- Pattern-based actions - Once you learn how to complete an action, similar actions are the same.

- Responsive Layout - Regardless of your web browser or resolution, TeamMate CM resizes to accommodate your view.

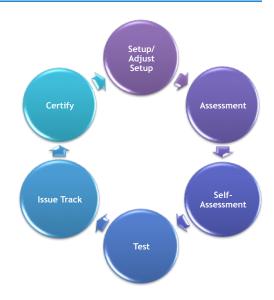## Switch your view from one Dimension to another to assess risks and controls from different hierarchies

- TeamMate CM uses a concept called a Dynamic Working View. This allows you to work within a single screen with the option of changing either the dimension being viewed or the perspective on the data – or both. You are not forced to change screens to see a different set of information.

- Up to 6 separate user-defined dimensions can be used (for example: Organization Hierarchy, Processes, Accounts, Systems, Locations, etc.)

- You create the relationship between a control and the various dimensions

Learn more at www.TeamMateSolutions.com/CM

HOW DOES TEAMMATE® CM DIFFER FROM MOST OTHER SOX MANAGEMENT TOOLS ON THE MARKET?

- **While the primary purpose of TeamMate CM is for SOX and its global counterparts (A-123, etc.) it easily manages other compliance management activities where identification, evaluation, testing and certification reporting are required.**

- **TeamMate CM provides for a flexible relationship between Entities, Processes, Financial Statement Accounts and up to 3 more reporting structures**

  - You are not forced to use a fixed, rigid hierarchy of entity/account, process, risk, control. As such, the TeamMate CM hierarchy can be set up to use for other types of control or compliance testing.

  - Our approach allows you to create a relationship between dimensions such as entities and processes to each instance of a risk or control

- **TeamMate CM allows you to update control information and apply the changes to active instances of that control in your assessments**

  - Most organizations have an average of 2,500 controls – if you test them quarterly, that's 10,000 instances. Imagine if you need to edit a control that is in use in multiple areas of your organization and you realize in the first quarter that the control has changed slightly. How many individual updates would be needed if you had to perform them all individually?

- **Certification is not a fixed workflow**

  - A single assessment can have multiple certifications, each with multiple signers, where you design the certification statement

  - Each signer can choose to electronically sign off or print, physically sign and attach their certification – or both

- **TeamMate CM is a new product that has been designed with significant consultation of and input from actual Compliance professionals based on their experience and needs.**

  - It is designed to share information and work in conjunction with the existing TeamMate AM solution, but it is not a redesign of existing elements.

  - Data is shared between TeamMate AM and TeamMate CM, resulting in less setup and consistent use of terms.

  - Reporting can be done across either or both disciplines (Audit and Compliance)

Setup/Adjust Setup

Certify

Assessment

Issue Track

Self-Assessment

Test

Contact TeamMate:

UNITED STATES / LATIN AMERICA
The Towers at Westshore
1410 N Westshore Boulevard, Suite 400
Tampa, FL 33607
U.S.A.
1.888.830.5559

CANADA
Suite 300, 90 Sheppard Ave. E
Toronto, Ontario M2N 6X1
Canada
1.800.461.5308, ext. 8820

EUROPE, MIDDLE EAST, AFRICA
250 Waterloo Road
London, SE1 8RD
United Kingdom
+44.20.7981.0566

ASIA PACIFIC
15/F, W Square
314 – 324 Hennessy Road
Wan Chai, Hong Kong
Tel: +852.2610.7080
Fax +852.2610.7098

Learn more at www.TeamMateSolutions.com/CM